

**Optum**

# Prosthetic and orthotic devices

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# Presenters



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*Medical Director*



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*Certified Prosthetist-Orthotist*

# Objectives

- 1 Discuss the medical treatment course for claimants with an amputation.
- 2 Review the safety, functional, and financial considerations related to the claimant with an amputation.
- 3 Describe the different types of upper and lower limb prosthetic devices, their advantages, and their potential disadvantages.
- 4 Discuss the benefits and potential risks of orthotic devices.
- 5 Review the differences between off-the-shelf and custom-made orthotic devices.
- 6 Describe the different types of orthotic devices, their indications, and important considerations when they are used.

# Prosthetic devices



# Effects of comorbid conditions on amputations

## Comorbid conditions

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- Diabetes
- Tobacco use
- Vascular disease
- Heart disease
- Depression
- Obesity
- Arthritis
- Substance abuse
- Aging claimant

## Complications

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- Infection
- Impaired wound healing
- Contractures
- Deconditioning
- Pain
- Worsening depression
- Sedation
- Falls

## Impact on use of prosthesis

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- Weakness
- Impaired cognition
- Decreased endurance
- Lack of motivation

# Hospital course

## Postoperative care

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- Pain control
- Minimize blood loss
- Adequate nutrition
- Control swelling
- Falls prevention
- Early range of motion and mobilization
- Prosthetic vendor referral

## Discharge planning

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- Home
- Subacute nursing facility
- Acute inpatient rehabilitation
- DME
- Follow-up
  - Providers
  - Physical medicine
  - Prosthetic vendor

# Post-discharge recovery and rehabilitation

## Pain control

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- Postsurgical pain
- Phantom limb pain
  - Sensations
  - Pain
    - Anticonvulsants and antidepressants
    - Desensitization techniques
    - Mirror therapy
    - “Movement” of the missing limb

## Wound care

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- Surgical wound management
- Compression (wrap / shrinker)
- Precautions with elevation
- Weight-bearing limitations
- Nutrition and hydration
- Scar mobilization



# Post-discharge recovery and rehabilitation

## Residual limb shaping

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- Elastic bandages (ACE wrap)
- Shrinker socks

## Mobilization

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- Range of motion
- Strengthening of other limbs
- Ambulation
- Stair climbing

## Endurance

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- Cardiovascular fitness
- Energy conservation techniques
- Joint protection

# Prosthetic vendor referral



## Physician preference

- Surgeon or physiatrist
- Order set



## Claimant contact

- Usually established prior to discharge from the hospital or rehabilitation center
- Introductory information
  - Residual limb care
  - Safety and precautions
  - Estimated timeline for first prosthetic device



## Peer visit

- Former patient
- Amputee coalition

# Outpatient prosthetic evaluation

- Medical history
- Physical examination
- Functional assessment
  - Prior
  - Current
  - Potential level of function and goals
    - Realistic
    - Meaningful
    - Unlikely to be more functional than prior to amputation



# Prosthesis timeline

## Immediate postoperative prosthesis

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- Applied immediately after surgery
- Initial weight-bearing
- Only used until temporary prosthesis is created

## Temporary prosthesis

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- Provided within several months after amputation
- Essential components only
- Gait training
- Safety

## Definitive (final) prosthesis

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- Created three to six months after amputation
- Occasional use of some components from temporary prosthesis
- Additional costs
- Lifetime dependent upon wear and repairs
- Repairs vs. replacement

## Characteristics of the population: Gender and Age

	Dysvascular	Trauma	Cancer
Male	60%	78%	36%
Age			
< 45	16%	46%	43%
45-64	58%	44%	42%
>=65	26%	20%	15%

*South Med. J 95(8):875-883,2802  
Limb Amputation and Limb Deficiency  
Timothy R. Dillingham, D.D. et. al.*

# Percent using a prosthesis

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	Dysvascular	Trauma	Cancer
Never	18%	19%	24%
<8 hrs/day	22%	18%	11%
>=8 hrs/day	60%	63%	66%

*South Med. J 95(8):875-883,2802  
Limb Amputation and Limb Deficiency  
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# Percent using a prosthesis: Level of amputation

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	Upper limb	Lower limb
Never	49%	16%
<8 hrs/day	27%	17%
>=8 hrs/day	29%	67%

*South Med. J 95(8):875-883,2802  
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## Current activity by age

	18-44	45-54	55-64	>=65
Working or school	66%	49%	35%	9%
Looking for work	12%	11%	5%	2%
Homemaker	8%	5%	5%	6%
Retired-disability	14%	33%	42%	28%
Retired-other	0%	2%	13%	55%

*South Med. J 95(8):875-883,2802  
Limb Amputation and Limb Deficiency  
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# Team approach



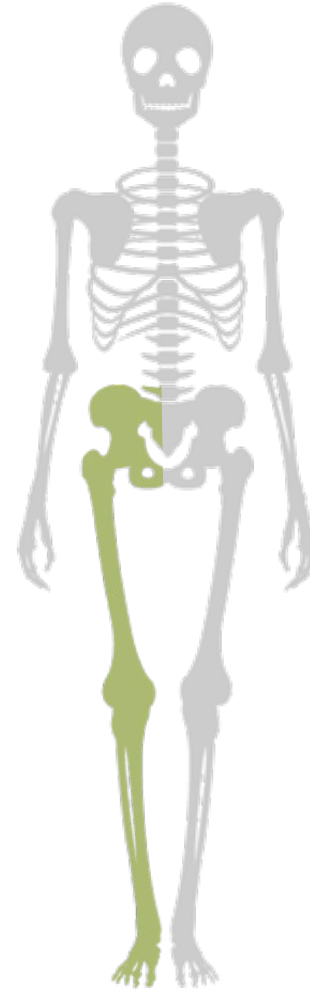
## Keep in mind...

- Everyone is different, as will be their prostheses
- Age is never a deciding factor for prosthetic intervention “Functional” age is important
- There are very few contraindications for a prosthesis
- Patients discuss with other patients - pros and cons
- Generally, new amputees have limited understanding of
  - What to expect
  - What is possible



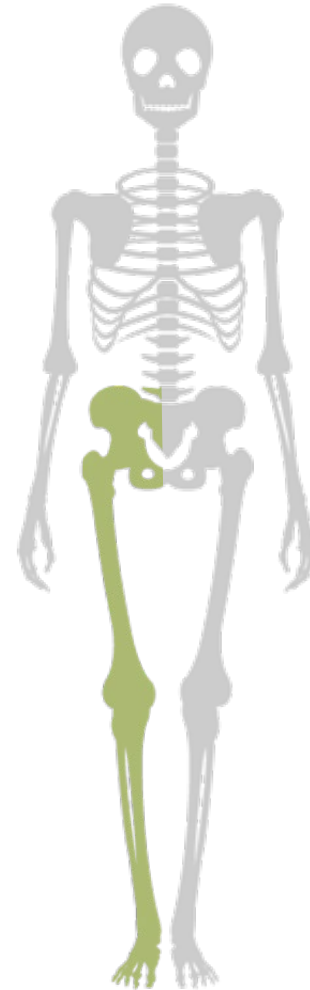
# Amputation site selection (lower limb)

- Hemicorporectomy
- Hemipelvectomy
- Hip disarticulation
- Transfemoral (above-the-knee)
- Knee disarticulation
- Transtibial (below-the-knee)
- Ankle disarticulation (Syme's)
- Midtarsal (Chopart)
- Tarsometatarsal junction (Lisfranc)
- Transmetatarsal
- Partial foot/partial toe



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# Amputation site and additional energy required for walking

**25%**

Single below-the-knee

**41%**

Bilateral below-the-knee

**60-70%**

Single above-the-knee

**>200%**

Bilateral above-the-knee

*Cuccurullo, Sara J. Physical Medicine and Rehabilitation Board Review. 3rd ed. New York: Demos Medical, 2015. Page 477.*

# Lower limb prosthesis components are determined by claimant's K-level

Medicare defines K-levels based on the ability or **potential** to ambulate and navigate the environment.

K-level	Functional level of amputee
<b>K0</b>	<b>No ability or potential</b> to ambulate or transfer safely with or without assistance and a prosthesis does not enhance quality of life or mobility.
<b>K1</b>	Ability or potential to use a prosthesis for transfers or ambulation on level surfaces <b>at fixed cadence</b> .
<b>K2</b>	Ability or potential for ambulation with the ability to traverse <b>low-level environmental barriers</b> such as curbs, stairs, or uneven surfaces.
<b>K3</b>	Ability or potential for ambulation with <b>variable cadence</b> - a typical community ambulatory with the ability to traverse most environmental barriers may have activity that demands prosthetic use beyond simple locomotion.
<b>K4</b>	Ability or potential for ambulation that <b>exceeds basic ambulation</b> skills, exhibiting high impact, stress, or energy levels.

## Lower limb prosthesis components are determined by claimant's K-level

Medicare defines K-levels based on the ability or **potential** to ambulate and navigate the environment.

K-level	Functional level of amputee	Type of prosthesis
K0	No ability or potential to ambulate or transfer safely with or without assistance and a prosthesis does not enhance quality of life or mobility.	Not eligible for a functional prosthesis
K1	Ability or potential to use a prosthesis for transfers or ambulation on level surfaces <b>at fixed cadence</b> .	External keel, SACH feet or single axis ankle/feet, single-axis, constant friction knee
K2	Ability or potential for ambulation with the ability to traverse <b>low-level environmental barriers</b> such as curbs, stairs, or uneven surfaces.	Flexible-keel feet and multi-axial ankle/feet, single-axis, constant friction knee
K3	Ability or potential for ambulation with <b>variable cadence</b> - a typical community ambulatory with the ability to traverse most environmental barriers may have activity that demands prosthetic use beyond simple locomotion.	Flex foot and flex-walk systems, energy storing feet, multi-axial ankle/feet, or dynamic response feet, fluid and pneumatic control knee, microprocessor knee
K4	Ability or potential for ambulation that <b>exceeds basic ambulation</b> skills, exhibiting high impact, stress, or energy levels.	Any ankle foot system appropriate, any ankle knee system appropriate, including microprocessor

# Lower limb prostheses

## Type

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- Functional considerations
- Knee and ankle components

## Special considerations

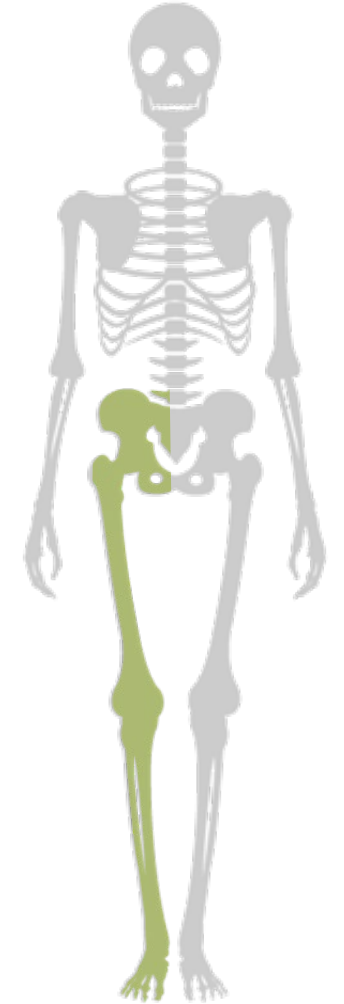
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- Amputation site
- Cognitive abilities
- Residual strength and range of motion
- Endurance
- Claimant weight
- Comorbid conditions

## Potential complications

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- Contractures
  - Knee
  - Hip
- Gait deviations
- Fall risk
- Abandonment of prosthesis





# Components of a lower limb prosthesis

Suspension

Socket

Knee

Lower leg (shank)

Foot/ankle



# Partial foot

Partial toe

Toe disarticulation

Metatarsal ray resection

Transmetatarsal (TMA)

Lisfranc & Chopart



# Syme

## Ankle disarticulation

- Challenging cosmesis
- Doors/windows for donning
- Weight-bearing end
- Limited foot options



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# Transtibial

Resection through tibia and fibula

Anatomical knee joint preserved

Requires 25% more energy than normal



# Knee disarticulation

Entire femur and condyles intact

## Advantages

- Good end-bearing surface
- Lower trimline
- Long lever arm for power/control

## Disadvantages

- Limited space for attachment components
- Prosthetic knee center lower than anatomical knee which causes gait deviation and sitting anomaly



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# Transfemoral

Resection through femur

Requires 66% more energy than normal



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# Lower extremity prosthetics

## Microprocessor-controlled knees

### Frequency of falling

**66%**

Transfemoral (TF) amputees experienced a fall within the previous year

**4%**

General population fall annually



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Gauthier-Gagnon, C (1999) Arch PhysMed Rehabil 80(6): 706-13. (n=396)

Incidence rate (per 100,000 persons) of injuries by mechanism - Corso, P, E Finkelstein, T Miller, I Fiebelkorn and E Zaloshnja (2006). "Incidence and lifetime costs of injuries in the United States." Inj Prev 12(4): 212-8.

# Hip Disarticulation / Transpelvic

- Slow fixed cadence
- Component selection and alignment similar for both levels
- Prosthetic fitting typically limited to motivated and physiologically vigorous individuals
- Lack of comfort most common reason for NOT wearing prosthesis at these levels
- Energy requirements up to 200% of normal ambulation

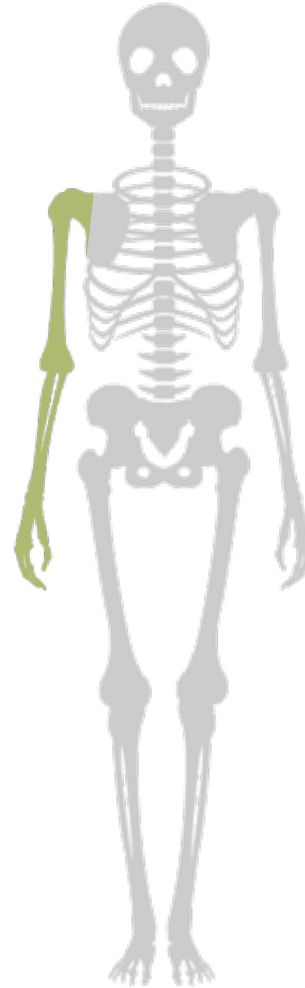


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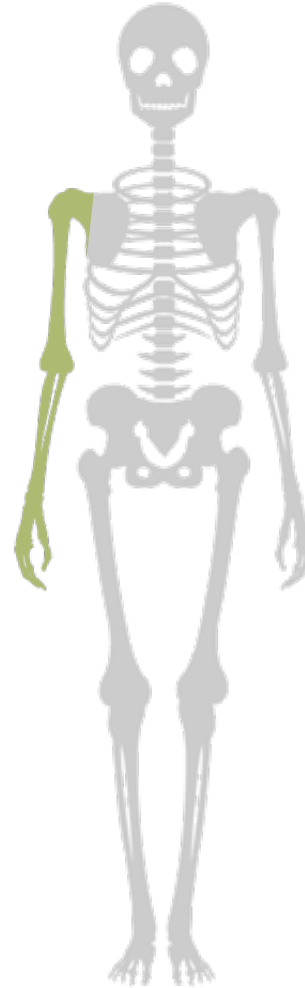
# Amputation site selection (upper limb)

- Forequarter
- Shoulder disarticulation
- Transhumeral (above-the-elbow)
- Elbow disarticulation
- Transradial (below-the-elbow)
- Wrist disarticulation
- Transcarpal
- Transmetacarpal
- Transphalangeal



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## Upper extremity amputees

- The goal of Prosthetic Rehabilitation is to provide appropriate function to meet the goals and abilities in order to return to work.
- There are many prosthetic options and adaptations
- One prosthetic system typically does NOT meet all of the needs of an individual

**There is NO standard prosthesis or protocol**



# Factors influencing success

**50%**

Upper limb amputees  
do not use a prosthesis

## Long-term implications

- Overuse injuries
- Psychosocial
- Posture



**We take for granted the simple bimanual tasks we do every day.**

# Upper extremity prosthetics

## “Golden Period” of within 30 days

**93%**

Success rate for patients  
fitted within 30 days

**42%**

Success rate for patients  
fitted after 30 days

# Upper limb prostheses

## Options

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- No prosthesis
- Passive (semi-prehensile, cosmetic)
- Manual/body powered (cable operated)
- Myoelectric
- Hybrid
- Adaptive / activity specific

## Special considerations

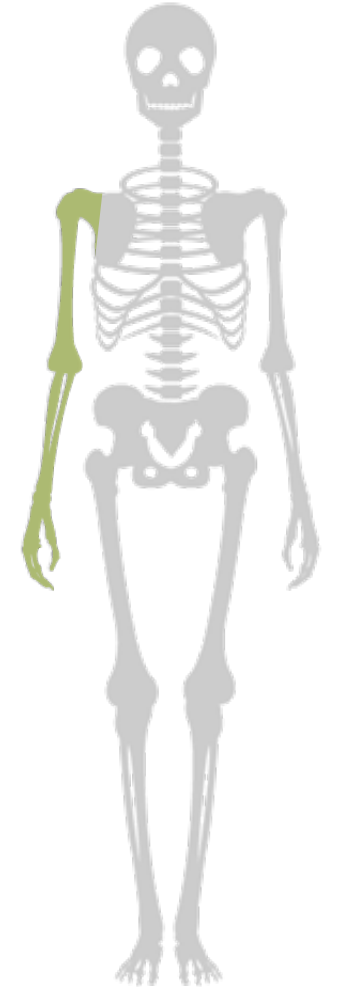
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- Amputation site
- Cognitive abilities
- Residual strength and range of motion
- Durability requirements

## Potential complications

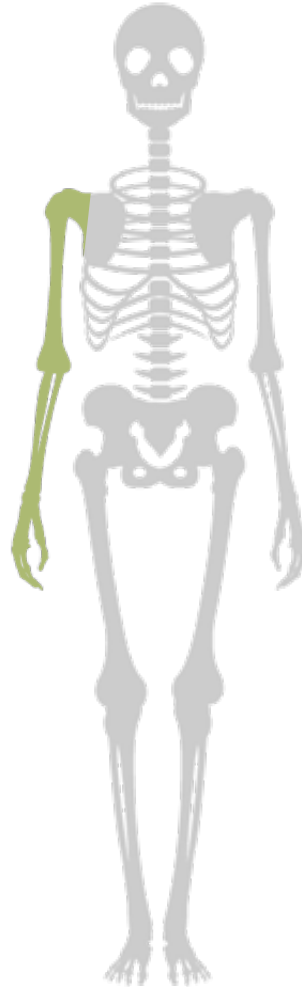
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- Overuse injuries
- Skin wounds
- Abandonment of prosthesis



# Components of an upper limb prosthesis

- Suspension
- Socket
- Upper arm
- Elbow
- Forearm
- Wrist
- Terminal device (hand)
  - Functional vs. cosmetic
  - Hand vs. hook
- Control system
  - Body powered
  - Myoelectric



## Bad first experience with a prosthesis

- Unaware of options
- Limited functional ability
- Not worth the “hassle”
- Lack of sufficient prosthetic training
- Development of one-handedness
- Unnatural look





# Passive prosthesis

A cosmetic restoration with limited functional capabilities

Used for functional activities that do not require active prehension

Typically digits can be manipulated to enhance function



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# Upper extremity prosthetics: Custom cosmetic restoration



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# Prosthesis options

## Cable operated prosthesis

Powered and controlled by gross body movements captured by a harness system.

**Excursion:** Body motions used for control

**Force:** Force associated with those body motions



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# Prosthesis options

## Cable operated prosthesis

Powered and controlled by gross body movements captured by a harness system.

**Excursion:** Body motions used for control

**Force:** Force associated with those body motions



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## Hooks – Hosmer designs

In general, hooks are used for function versus a hand. They offer a better visual of the object being manipulated.



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# Prosthesis options

## Electrically powered prosthesis

- Battery system
- Various Control Options: Myoelectric (single or dual site), Switch – rocker, pull, push, Touch Pads, Servo control.



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# Prosthesis options

## Electrically powered prosthesis

- Battery system
- Various Control Options: Myoelectric (single or dual site), Switch – rocker, pull, push, Touch Pads, Servo control.



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## Hybrid prosthesis: body powered + external power

- A prosthesis utilizing various control strategies
- Most universal configurations:
  - Cable-driven elbow / electric hand
  - Passive elbow / electric hand



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# Prosthesis options

## Adaptive prosthesis

A prosthesis that is designed for a specific activity or an adaptation to an existing prosthesis



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Copyright TRS Prosthetics

# Prosthesis options

## Adaptive prosthesis

A prosthesis that is designed for a specific activity or an adaptation to an existing prosthesis



Copyright Texas Assistive Devices



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## Multiple prosthesis

- Many prosthetic users rely on more than one prosthesis to perform diverse types of activities and tasks.
- The secondary prosthesis may also serve as a back-up prosthetic system.





# Partial hand

## Passive/cosmetic restoration

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- Cosmetic appearance
- Protection of tender areas
- Augmentation of active grasp



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## Passive/mechanical

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- Augmentation of active grasp
- Less expensive than cosmetic glove



Copyright naked prosthetics

## Externally powered

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- Myoelectric



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Transphalangeal



Transmetacarpal



Transcarpal

# Prosthesis options

## Wrist disarticulation / transradial

- The longer the limb, the more supination/pronation is preserved
- Control
  - Body powered
  - Externally powered



# Prosthesis options

## Wrist disarticulation / transradial

- The longer the limb, the more supination/pronation is preserved
- Control
  - Body powered
  - Externally powered



## Elbow disarticulation / Transhumeral

### Crucial Factors

- Length of the bony lever arm
- Quality & nature of soft-tissue coverage
- Shape and muscle tone of the residual limb
- Flexibility, ROM, & stability of proximal joints

### Successful long-term use

- Comfort
- Perceived value to patient



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# Shoulder Disarticulation/Interscapulothoracic (Forequarter)

## Major challenges

- Prosthesis stability
- Cosmetic appearance (especially natural shoulder profile)



# Orthotic devices



# Purpose of an orthotic device

An externally applied device to a body segment that facilitates or improve function by supporting, correcting, or compressing for skeletal deformity or weakness.

## Options

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- Support and align
- Prevent or correct deformity
- Substitute for function
- Pain relief
- Transfer load from one area to another
- Inhibit tone
- Restrict motion

## Special considerations

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- Compliance
- Skin breakdown or blisters: too tight or too loose
- Muscle weakness
- Overdependence or overreliance

# Differences between off-the-shelf and custom-made orthotic devices

## Availability

## Patient-fit

## Cost

- Devices are often requested by brand-name instead of function
- If physician fits the product, how often is the least expensive device provided or contract with certain company
  - If insurance pays, price is not an issue?
- A prosthetic-orthotic clinic cannot stock all brands in each office(s)
- Brand-specific requests could result in higher cost without improved function or outcome and possibly delay care if certain brand not in stock
- Cost of orthosis or prosthesis includes all practitioner clinical evaluation, casting, fitting, and follow up time
- If deformity present, special circumstances, or measurements are outside of sizing guidelines, custom-made is indicated for

# Lower limb orthotic devices: Knee-ankle-foot orthosis (KAFO)

- Single axis
- Posterior offset
- Locking knee (drop lock, bail lock)
- Stance control



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# Lower limb orthotic devices: Ankle-foot orthosis (AFO)

- Posterior leaf
- Semi-rigid
- Solid plastic
- Articulated
- Tone-reduction properties
- Carbon



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Copyright cascadedafa

## Lower limb orthotic devices: Knee orthosis (KO)

- Mediolateral stability
- Flexion extension limits (IROM joints)
- Swedish cage: used in the management of knee hyperextension



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# Lower limb orthotic devices: Ankle support orthosis (ASO)

- Ankle sprain
- Ankle instability



Copyright AirCast



Copyright DonJoy



Copyright MedSpec

# Upper limb orthotic devices

## Static

- Immobilize or support
- Help prevent deformity
- Prevent soft tissue contracture
- Allow attachment of assistive devices
- Block a segment



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## Dynamic

- To substitute for loss of motor function
- To correct an existing deformity
- Provide controlled directional movement
- Aid in fracture and wound healing



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# Finger orthoses



Copyright AliMed



Copyright DeRoyal



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# Upper limb orthotic devices

## Cock-up splint/carpal tunnel splint



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# Upper limb orthotic devices

## Cock-up splint/carpal tunnel splint



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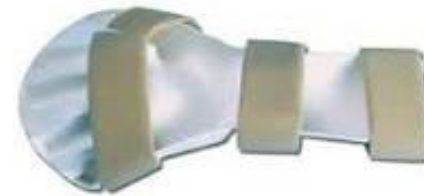


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## Tone-reducing splints



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# Spinal orthotic devices: Cervical spine

- Soft
- Rigid
- Sterno-occipital mandibular immobilizer (SOMI)
- Halo



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# Spinal orthotic devices: thoracic spine

- Thoracic-Lumbar-Sacral Orthosis (TLSO)
- Jewett brace



Copyright Spinattech



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Copyright DeRoyal



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# Spinal orthotic devices: corsets

- Lumbar
- Kinesthetic reminder



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# Managing the whole claim

## Psychological

- Evaluation
- Counseling
- Medications for depression and/or PTSD

## Wound care

- Monitoring by provider
- Home health

## Durable medical equipment

- Cane
- Walker
- Wheelchair
- Hospital bed

## Case management

- Coordination of care
- Continuity of care
- Specialized services

## Continuity of care

- Discharge planning
- Surgeon
- Primary care
- Rehabilitation providers

## Prosthesis timeline and expectations

- Claimant
- Providers
  - Prescriber
  - Prosthetist
- Payer

## Repairs and replacement

- Appropriate device and component selection
- Routine follow-up and maintenance

## Return to function and work

- Home and vehicle modifications
- Job modifications
- Activity and safety levels

## Summary

- Prosthetic and orthotic devices are important in restoring function and improving safety, but they must be prescribed and used appropriately.
- Prosthetic success may be dependent on underlying comorbid conditions.
- Orthotic devices can, in many cases, be off-the-shelf but custom fabrication may be needed in certain circumstances.
- Orthotic devices can provide joint and spine stability, but muscle weakness can develop if used for prolonged periods of time.





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